



*Handwritten:* \$DAE

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Mahanthappa et al.

Serial No: 09/418,221

Filed: October 14, 1999

For: Neuroprotective Methods and  
Reagents

Attorney Docket No. CIBT-P02-043

Art Unit: 1646

Examiner: M. Brannock

**RECEIVED**  
DEC 3 2002  
OFFICE OF PETITIONS

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Commissioner for Patents Washington, D.C. 20231 on the date indicated below:

November 26, 2002

Date of Signature  
and of Mail Deposit

*Handwritten signature of Brent LaBarge*

Brent LaBarge

Commissioner of Patents  
Washington, D.C. 20231

**NOTIFICATION OF LOSS OF SMALL ENTITY STATUS AND PAYMENT OF FEES**

**UNDER 37 CFR 1.28**

Sir:

Applicants assert herewith that, at the time of filing, status as a small entity was established in good faith and fees as a small entity were paid in good faith for the above-referenced patent application. However, Applicants lost entitlement to small entity status on July 18, 2001, and, through error, the Office was not notified of a loss of entitlement to small entity status as required by § 1.27(g)(2). Accordingly, Applicants submit herewith payment of fees which were mistakenly paid at the small entity rate on and after July 18, 2001, for the above-referenced patent application. The fees paid and the respective discrepancies due are itemized hereinbelow in compliance with 37 CFR 1.28(c)(2).

Adjustment date: 12/03/2002 SLUANG1  
02/28/2002 GTRAMEL 00000001 181945 09418221  
01 FC:217 260.00 CR  
02 FC:219 160.00 CR

12/03/2002 SLUANG1 00000012 181945 09418221

01 FC:1401 320.00 CH  
02 FC:1253 920.00 CH  
03 FC:1252 400.00 CH

Adjustment date: 12/03/2002 SLUANG1  
02/07/2002 GTRAMEL 00000006 181945 09418221  
01 FC:216 200.00 CR

Fee Description	Date Authorized	Current Fee	Fee Paid	Balance Due
Request for Two Month Extension of Time	January 14, 2002	400.00	200.00	200.00
Notice of Appeal	February 14, 2002	320.00	160.00	160.00
Request for Three Month Extension of Time (minus Two Month extension already authorized)	February 14, 2002	920.00-400 = 520.00	260.00	260.00
<b>Total Due:</b>				<b>620.00</b>

Although Applicants believe no additional fees are due, the Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 18-1945, under Order No. CIBT-P02-043. A duplicate copy of this paper is enclosed. Please direct any questions arising from this submission to the undersigned at (617) 951-7615.

Respectfully Submitted,

Date: November 26, 2002

**Customer No: 28120**  
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David P. Halstead  
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